

Enough Project

Electronics companies ranked by progress on conflict minerals

Company	Trace	Audit	Certify	Stakeholder engagement	Legislation	Total	%		Environmental
HP	4.5	4.0	4.0	2.0	3.0	17.5	32%		8.0
Intel	4.0	5.0	1.0	2.0	1.0	13.0	24%		4.0
Motorola	2.0	5.0	1.0	2.0	2.0	12.0	22%		6.0
Nokia	3.5	3.0	1.0	2.0	1.0	10.5	19%		8.0
Microsoft	2.0	5.0	0.0	1.0	0.0	8.0	15%		6.0
Dell	2.0	3.0	0.0	2.0	1.0	8.0	15%		5.0
RIM	0.5	3.0	0.0	2.0	2.0	7.5	14%		6.0
Acer	2.0	3.0	0.0	1.0	1.0	7.0	13%		2.0
Apple	2.0	3.0	0.0	2.0	0.0	7.0	13%		4.0
Philips	1.0	3.0	0.0	2.0	0.0	6.0	11%		0.0
Samsung	0.0	3.0	0.0	1.0	0.0	4.0	7%		5.0
LG	0.5	3.0	0.0	0.0	0.0	3.5	6%		4.0
Sony Ericsson	0.0	3.0	0.0	0.0	0.0	3.0	5%		4.0
IBM	0.0	3.0	0.0	0.0	0.0	3.0	5%		7.0
Lenovo	0.0	3.0	0.0	0.0	0.0	3.0	5%		4.0
SanDisk	2.0	0.0	0.0	0.0	0.0	2.0	4%		1.0
Toshiba	1.0	0.0	0.0	0.0	0.0	1.0	2%		1.0
Panasonic	0.0	0.0	0.0	0.0	0.0	0.0	0%		4.0
Canon	0.0	0.0	0.0	0.0	0.0	0.0	0%		2.0
Sharp	0.0	0.0	0.0	0.0	0.0	0.0	0%		0.0
Nintendo	0.0	0.0	0.0	0.0	0.0	0.0	0%		0.0

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	GOLD	All	Acer	Comments	
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>									
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.50	0.50	0.50	0.50	2.00	2.00	Supply chain investigation on conflict minerals was conducted, with 50% response rate from suppliers. Results indicated country sources of minerals but survey not made public. Additionally, Acer has a company policy which says that suppliers should trace their minerals back to mines of origin. Acer "expressed our concerns over conflict minerals in the 2009 Acer CSR Supplier Conference to all of Acer's suppliers and thereby raising our suppliers' awareness of and attention to the origins of product materials." Enough would	
	b. Has the company published the refiners it uses for the 3TG? (one point for each metal) the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Enough would welcome Acer's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible) Can the company trace all of the 3TG in its products back to their mines of origin, similar to Walmart's "Love Earth" jewelry line? (two points for each mineral)		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of the 3T and gold metals to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>									
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	No. Acer has Standards of Business Conduct but this does not include policies on minerals, metals, conflict, or payments to armed groups, nor audits of suppliers on such standards.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	No. Internal supplier survey was conducted but did not include an internal audit.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	1.00	1.00	1.00	Through the EICC smelter validation audit.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	2.00	2.00	2.00	Yes. Acer joined EICC in 2008, and it joined the working group in mid-2009. "Acer has committed to collaborate with the EICC, ITI and our suppliers to improve the labor, health and safety, environmental and ethical aspects in the industry, and we will focus on where we are most influential to achieve that goal."
	e. Has the company provided financial support for auditing for 3TG? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3T and gold minerals? (see definition of certification, for a clarification)2</b>	<b>6.00</b>									
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	GOLD	All	Acer	Comments
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b> 2.00									
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes, initial call in November 2010.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No. We would welcome further engagement with Acer.
Legislation	<b>Support for legislation: Has the company supported the legislation on conflict minerals?</b> 7.00									
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	N/A	No.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	1	Yes. The statement reads, "In response to the signing of the U.S. Financial Reform Bill (H.R. 4173) into law on July 21, 2010, Acer supports the provisions outlined in the law and will participate collaboratively in the rule making process with other members in the industry through Acer's memberships in both the Information Technology Industry Council (ITI) and the EICC." See <a href="http://www.acer-group.com/public/Sustainability/sustainability_main04-10.htm">http://www.acer-group.com/public/Sustainability/sustainability_main04-10.htm</a>
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	N/A	See #22
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>7.00</b>	<b>13%</b>
<b>Overall percentage</b>										
<b>Additional Criteria</b>										
Environmental	<b>a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)</b> 8.00									
	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	2	2	12/18 on the Greenpeace list
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest		N/A	N/A	N/A	N/A	N/A	0	n/a	Acer is not included in the 2009 rankings

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Apple	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								Apple did not respond to the Enough survey. The results here are based on meetings with Apple and published information.
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.50	0.50	0.50	0.50	0.00	2.00	Supplier investigation on conflict minerals was conducted in 2010.
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Enough would welcome Apple's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. However, Apple has a proactive policy on auditing suppliers more generally. Apple sends auditors to its first tier suppliers on environmental and labor standards and publishes the results of these audits, visiting 102 facilities in 2009. This is a high industry standard, and we would welcome Apple to apply these audits to the 3TG smelters and suppliers as well to deal with conflict minerals. "Apple requires our suppliers of tantalum capacitors to certify they use only materials that have been produced through a socially and environmentally responsible process. In 2009, we extended our certification requirement to tungsten used in iPhone vibrator motors." However, this does not appear to contain an audit/verification, so it does not qualify here. Enough would welcome an audit/verification of these suppliers according to standards on conflict minerals.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. See #8
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	Through the EICC Tantalum Smelter Validation Program. 11 smelters are to be audited.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	0.00	0.00	0.00	2.00	2.00	Yes.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Apple	Comments
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	No. We would welcome Apple's further support of certification.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>7.00</b>	<b>13%</b>
<b>Overall percentage</b>										
<b>Additional Criteria</b>										
Environmental	<b>a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)</b>	<b>8.00</b>								
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	3	3	3	9/18 on the Newsweek list.
			4	N/A	N/A	N/A	3	3	3	19/48 on the US Technology companies list.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Canon	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								Canon has not made public statements on conflict minerals, nor participated in meetings on conflict minerals, nor responded to Enough's repeated attempts to contact the company.
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Enough would welcome Canon's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Canon's 2010 Sustainability Report makes no mention of conflict minerals or auditing of smelters.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Cannon	Comments	
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00		0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>									
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	No	
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No.	
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>									
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No.	
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	No.	
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.	
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>0.00</b>	<b>0</b>	<b>Overall percentage</b>
<b>Additional Criteria</b>		<b>8.00</b>									
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	N/A	N/A	Not ranked.	
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	N/A	4	24/100 on the Overall Newsweek companies list.	

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Dell	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.00	0.00	0.00	0.00	0.00	0.00	Dell is in the process of investigating its components and suppliers for the presence of 3TG materials, but this has not been completed.
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Enough would welcome Dell's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	No.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Dell conducts internal audits to the EICC Code of Conduct, which does not include the conflict minerals questions yet. Dell also conducts joint audits of certain questionable suppliers, together with other electronics companies. We would welcome a policy of auditing Dell's suppliers for conflict minerals.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Dell conducts internal audits to the EICC Code of Conduct, which does not include conflict the minerals questions yet. Dell also conducts joint audits of certain questionable suppliers, together with other electronics companies.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	Through the EICC Tantalum Smelter Validation Program. 11 smelters are to be audited.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Dell provided \$10,000 for the ITRI pilot project but this is a tracing, not an auditing scheme.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Dell: "We are driving for a conflict free portfolio, a single product seems to point out that every other product must be a conflict product."
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	EICC work counts as audit only, not certification.



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Dell	Comments
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	EICC work counts as audit only, not certification.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue? 2.00</b>									
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals? 7.00</b>									
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	1	1	Yes, at <a href="http://en.community.dell.com/dell-blogs/Direct2Dell/b/direct2dell/archive/2010/08/23/dell-supports-the-inclusion-of-conflict-minerals-in-the-new-financial-reform-bill.aspx">http://en.community.dell.com/dell-blogs/Direct2Dell/b/direct2dell/archive/2010/08/23/dell-supports-the-inclusion-of-conflict-minerals-in-the-new-financial-reform-bill.aspx</a>
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>8.00</b>	<b>Overall percentage 15%</b>
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	2	2	10/18 on the Greenpeace list.
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	1/49 on the US Technology Companies list.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	HP	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.50	0.50	0.50	0.50	2.00	2.00	A series of supply chain investigations conducted in 2007, 2009, and 2010. "We have been able to trace many of those components to the smelter level, but not in all cases. So far we have identified smelters for most uses of tantalum and some uses of tin."
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.50	0.00	0.00	0.00	0.50	HP published its supplier list in 2008 and 2009, the first in the industry to do so, and it did not suffer competitive disadvantage. Impressively, this list includes one smelter, Mitsui. We would welcome HP's full inclusion of its smelters in this published list. <a href="http://www.hp.com/hpinfo/globalcitizenship/docs/HP_suppliers_2009_GCR.pdf">http://www.hp.com/hpinfo/globalcitizenship/docs/HP_suppliers_2009_GCR.pdf</a>
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes. As part of the smelter validation sub-team of the EICC-GeSI extractives working group, HP social & environmental responsibility auditors visited and reviewed the practices of metal smelting facilities in China (tin and tantalum), United States (tin and tantalum), Thailand (tin) and Malaysia (tin).
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	Not yet. "Notably... in some cases where we are confident of the smelter used in a particular component supply chain, the data obtained about the procurement practices of the metal smelters should (once verified through the upcoming EICC-GeSI smelter audit process) enable us to have an understanding of the possible mines of origin of the metals used in our products. This is likely to include a range of mining locations around the world."
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	Not yet. HP has a progressive policy on internally and externally auditing suppliers, including 104 supplier visits in 2009, but this does not yet include audits of 3TG suppliers on conflict minerals. <a href="http://www.hp.com/hpinfo/globalcitizenship/society/supplychain/audit.html">http://www.hp.com/hpinfo/globalcitizenship/society/supplychain/audit.html</a> HP's statements on conflict minerals are extensive and positive but do not yet include audits on illegal payments to armed groups or minerals procurement policies: "We expect suppliers to conduct their worldwide operations in a manner that does not result in labor or human rights violations, including operations that contribute to the direct financing of armed conflict." We would hope that in the future, HP would replace the word "expect" with "will audit". <a href="http://www.hp.com/hpinfo/globalcitizenship/environment/pdf/suppolicy.pdf">http://www.hp.com/hpinfo/globalcitizenship/environment/pdf/suppolicy.pdf</a>
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	Not yet. "For some suppliers, we have included specific questions in their audits about their procurement of the 3TG metals. HP has not yet implemented a specific program for specifying and verifying our suppliers' processes for procurement of the 3TG metals, but we plan to as part of our due diligence efforts to comply with the conflict minerals law."
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	Through the EICC Tantalum Smelter Validation Program. 11 smelters are to be audited.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes. HP: "Yes, we are an active member of the smelter validation program."
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	1.00	0.00	0.00	0.00	1.00	HP's response: "To date, HP has committed the time of our internal auditors and supply chain social / environmental responsibility experts to help build out the audit process for EICC. We estimate that between time spent on weekly conference calls and reviewing of associated materials, travel and time to attend smelter visits and supply chain workshops we have spent at least \$50k in internal staff and travel costs."

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	HP	Comments
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not yet. "It is quite possible that many HP products already on the market are in fact DRC conflict-free today. That said, there is no certification mechanism currently available either for us, our competitors, or our suppliers of 3TG materials."
	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
<b>Certify</b>	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	1.00	0.00	0.00	0.00		2.00	Yes. "We are pleased that our suppliers have cooperated with us to provide what information they could. Despite this feedback, there is no certification mechanism currently available that can assure us that the metals used in our products are not sourced from mineral trade associated with the conflict in the DRC. HP is working alongside other industries to drive the creation of such a mechanism, and in 2010 we will work with our suppliers to further investigate and attempt to trace the origin of the tantalum used. We believe that it is our responsibility to create awareness and encourage accountability in our supply chain, and we will continue to do so until a credible certification process is established. In addition, a public statement made by HP in October 2009, refers to the need for adequate certification mechanisms to be in place: First, we must address the concerns in the Eastern DRC region (and the responsible sourcing of metals in general) by engaging multi-industries and all stakeholders to develop an effective system of mineral certification and supply chain assurance for metals." <a href="http://www.huffingtonpost.com/zoe-mcmahon/accountability-forminera_b_354161.html">http://www.huffingtonpost.com/zoe-mcmahon/accountability-forminera_b_354161.html</a>
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	2.00	Yes. "HP recognizes that the creation of a verifiable certification mechanism for minerals arising from the DRC and neighbouring countries is extremely important in order to ensure a sustainable supply of minerals from the region that can be procured and used legitimately in the metals supply chain."
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	HP provided \$30,000 to the ITRI pilot but this is a tracing program, not certification.
<b>Stakeholder Engagement</b>	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	2	2	Yes. HP issued a public statement of support for the Restoring American Financial Stability Act prior to its passing into law. See HP's position on the legislation here. <a href="http://www.hp.com/hpinfo/globalcitizenship/society/supplychain/minerals.html">http://www.hp.com/hpinfo/globalcitizenship/society/supplychain/minerals.html</a>

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	HP	Comments
Legislation	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	1	Yes. "HP applauds Congress and President Obama for including conflict minerals language in the Wall Street Reform and Consumer Protection Act. We believe this provision will help provide much-needed transparency in companies' supply chains, reduce the purchase and use of conflict minerals known to fund the ongoing armed conflict in the eastern Democratic Republic of the Congo (DRC), and thus help reduce some of the factors that have contributed to the civil war there."
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	HP supported the objectives of the legislation in several meetings with offices in the House and Senate. This lobbying was conducted on behalf of the goals of the legislation, so it does not receive points here.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>17.50</b>	<b>Overall percentage</b>
<b>32%</b>										
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	4/18 Greenpeace.
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	2/100 Global 100.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	IBM	Comments	
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								IBM addresses the issue solely through the EICC. IBM: "The situation in the DRC is deeply disturbing and requires multi-stakeholder resolution; including at IBM held meetings with suppliers, but it does not appear that investigations and mapping of the company's suppliers were conducted. "At IBM we have been in contact with the small number of suppliers that we purchase materials directly from and have explained our position on conflict minerals. In turn, we have submitted these suppliers to the EICC/GeSI study that is continuing to map the entire supply chain. So as not to have competing efforts simultaneously, we	
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Enough would welcome IBM's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								Not to our knowledge.	
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	IBM conducts third-party audits of its suppliers but these do not include provisions on conflict minerals, smelters, or payments to armed groups.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	IBM conducts third-party audits of its suppliers but these do not include provisions on conflict minerals, smelters, payments to armed groups.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	1.00	Through the EICC Tantalum Smelter Validation Program, 11 smelters are to be audited.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	2.00	2.00	In September the first audits of tantalum smelters are being conducted with a schedule extending through the remainder of 2010.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>									
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	IBM	Comments
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b> 2.00									
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	No. IBM has not responded to repeated requests from Enough for a meeting. Enough would welcome further engagement with IBM.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b> 7.00									
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	No. IBM's response: "We are awaiting details of the reporting criteria being developed by the Securities and Exchange Commission in relation to the recently ratified Financial Reform Bill H.R. 4173."
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>3.00</b>	<b>Overall percentage 5%</b>
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	N/A	N/A	Not included in latest rankings.
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	1/100 Global Rankings and 3/500 in the U.S. rankings.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Intel	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								Intel: we continue to work diligently to put the systems and processes in place that will enable us; with a high degree of confidence to declare that our products are conflict-free. Our efforts on extractives are focused in three main areas: (1) driving
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.50	0.50	0.50	0.50	2.00	2.00	Yes. "Intel in 2009 directed our suppliers to complete a survey on the origin of metals used in their supply chain. The purpose of this survey was to understand three items: (1) whether our supply base had implemented a conflict-free sourcing policy; (2) did they have the ability to trace the metals they used back to the mine of origin; (3) could they identify the smelters that were used to refine the metals in their specific supply chain."
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Enough would welcome Intel's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes. "In 2009 Intel conducted our first on-site conflict-metal smelter review. This was the first review ever done in the electronics industry for conflict-minerals, and later other companies would join with us in these smelters reviews. Intel has conducted 11 smelter reviews in six countries (China, Germany, Malaysia, Peru, Thailand, and USA), and we will complete at least five more reviews by the end of the year."
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	No.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Intel's Conflict Free Policy is positive but it does not include an auditing policy on conflict minerals yet. "Intel will commit to 1. Trace and map our supply chain for tantalum, tungsten, cobalt, tin and gold; 2. Support development of independently verifiable supply chain transactions, when available and credible, to document the routes taken and intermediaries involved from mine of origin to final product.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	Intel conducted 11 smelter reviews in 2009, but these were not internal audits, but rather preparations for the EICC audits.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	Yes. In 2009 they completed on site reviews of 11 smelters in 6 countries.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	4.00	Yes. Intel receives two additional points for chairing the working group.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	Yes, Intel spent significant funding during its smelter visits but did not disclose this amount, so no points were given. We would welcome publication of this information.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not yet.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	1.00	1.00	Yes. Intel attended the ICGLR summit in Nairobi, Kenya in September 2010 and made positive statements supporting certification. This is a positive development. But the company has not made these statements public, so one point is given here.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	While its stated policy is related to certification, it does not fall in the definition outlined in the survey. Intel: "support[s][the] development of independently verifiable supply chain transactions, when available and credible, to document the routes taken and intermediaries involved from mine of origin to final product."

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Intel	Comments
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	No.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	2.00								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes, through in-person meetings and regular calls.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	7.00								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	Intel issued the following statement on May 21, 2010. Because it supports the objectives of the legislation rather than the actual piece(s) of legislation, it does not qualify for points here. While we agree with the primary objective of the House legislation, we do not agree with certain provisions that in our view would create a layer of bureaucracy that generates more paper but does not solve the underlying problem. Specifically, given the complexities in the supply chain and our work over the past year on this issue, we don't believe that the proposed reporting mechanism in the legislation (i.e. the import declaration form provision) is practical to implement. This will not serve the ultimate objectives of improving accountability and transparency. Our goal is to make sure we have the right systems and reporting mechanisms in place - we haven't been waiting around for legislation on this issue and have been taking proactive steps to address this problem directly with our supply chain."
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	1	1	Yes. Intel issued the following statement on September 15: "The US Congress included provisions to address conflict minerals in the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. We see this as a contriduciton to the broader international solution..."
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	No.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>13.00</b>	<b>Overall percentage 24%</b>
<b>Additional Criteria</b>		<b>8</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	N/A	N/A	Not included in the Greenpeace rankings
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	4/49 U.S rankings



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Lenovo	Comments	
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								Lenovo's only response to the survey was: "Lenovo is an active member of the EICC and is engaged in the EICC workgroup activities in extractives. We support an industry approach to address	
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Lenovo's CSR policy, issued in August 2010, makes no mention of conflict minerals. See <a href="http://www.lenovo.com/social_responsibility/us/en/Lenovo_CSR_Fact_Facts.pdf">http://www.lenovo.com/social_responsibility/us/en/Lenovo_CSR_Fact_Facts.pdf</a>
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Enough would welcome Lenovo's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>									
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	No. Lenovo has a supply chain audit policy, using EICC compliance standards. However, the audits make no mention of conflict minerals or smelters.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	0.00	1.00	Through the EICC Tantalum Smelter Validation Program, 11 smelters are to be audited.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	0.00	2.00	Yes.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	No. Lenovo provided under \$50,000 for ITRI's iTSCi traceability pilot project, but this is a tracing, not yet an auditing scheme.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>									
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Lenovo	Comments
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	No, despite repeated requests. We would welcome engagement with Lenovo on this issue.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No. While Lenovo is based in China, it does conduct U.S. lobbying, having spent \$680,000 in 2009 on U.S. lobbying.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>3.00</b>	<b>5%</b>
<b>Overall percentage</b>										
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	1	1	14/18 Greenpeace rankings.
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	0	N/A	Not included.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	LG	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.00	0.50	0.00	0.00	0.00	0.50	An initial investigation was conducted for tantalum, which identified China and Australia as the major producers in LG's supply chain, but not yet for the other minerals. Further, according to LG, "LGE entered into simple contracts with major contractors to not supply illegally mined materials to LG Electronics. At that time (March 2010), the coverage was just focused on tantalum and we have to extend the scope to tin, tungsten, gold. LG has in place a Global Procurement Policy internally distributed to all
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Enough would welcome LG's publication of this information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	No. "LG is currently focusing on first-tier providers. In the future, the plan is to manage multi-tier providers."
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	No. "Not at this point but LG hopes to improve this system in collaboration with the EICC."
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. However, LG incorporated a conflict minerals provision into its Supplier Code of Conduct in September 2010: "Materials obtained from Mining: Suppliers shall evaluate the origin or source of their materials throughout their supply chains to verify that they have not been obtained through any illegal form of mining (e.g., materials obtained from the Democratic Republic of Congo)." LG plans "periodic self-evaluations" to monitor adherence with the Supplier Code of Conduct. However, this does not constitute auditing - an independent check - at present, unless this process is further clarified and fits common definitions of auditing. Further, LG stated "in the next year, we will get the agreement for compliance of LGE supplier code of conduct from our suppliers. This means our suppliers agree they shall conform to our Code with conflict mineral provision. For audit, with entering into the EICC Working group for conflict minerals, we will find out the way of auditing this topic." Enough would welcome internal audits on this issue.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	Not yet. LG: "Not at this point but LG hopes to improve this system in collaboration with the EICC." Further: "We will conduct supplier audit including this issue in next year. We're in the process of developing verification method, aligning with EICC."
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	1.00	Yes, through the EICC-GeSI.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	2.00	EICC membership was initiated in August. "LG plans to take an active role in EICC's working group."
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.	

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	LG	Comments
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	No. According to the company, "LG just recently published its Supplier Code of Conduct externally. Eventually, a certification program will be incorporated into LGE's CSR process." However, this does not include provisions on conflict minerals nor fit the definition of certification. We would welcome such an incorporation by LG.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	LG has not responded to several meeting requests from Enough. According to the company, "LG has been communicating with MakeITFair, a coalition of European and Southern NGOs, on the issue of conflict minerals. LG also responded to a questionnaire from Enough in March 2009."
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No. LG issued a statement in support of the goals of H.R. 4128 on November 18, 2009, but in order to count for points here, it would have to be in support of one of the specific pieces of legislation. While LG is based in South Korea, it does conduct U.S. lobbying, having spent \$210,000 in 2008 in \$10,000 in 2010 on U.S. lobbying. LG's answer to the survey was "LG's worldwide headquarters is located in Korea and involvement in the US legislative process is difficult and complicated."
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>3.50</b>	<b>6%</b>
<b>Overall percentage</b>										
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	1	1	14/18 Greenpeace rankings.
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)	<b>N/A</b>		N/A	N/A	N/A	N/A	0	N/A	Not listed.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Microsoft	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.50	0.50	0.50	0.50	2.00	2.00	A supply chain examination has been conducted on the four minerals but refiners have not yet been verified. Microsoft's next step will be to educate those suppliers (many located in China) to the conflict minerals issue and determine how far suppliers can track the source of the identified minerals. Microsoft will ask the supplier to provide the name of the smelter or refiner used in connection with the identified minerals. Additionally, they will be asked to certify that the minerals in these components do not come from implicated conflict mineral
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Microsoft does not view itself in a position to consider publication of smelter/refiners until it is possible ascertain whether it is possible to accurately identify specific smelters and create a reliable ongoing process to maintain and confirm the accuracy of received information. Enough would welcome Microsoft's investigation and publication of smelter information.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	2.00	2.00	This is in development currently. Microsoft states, "We will also ask our suppliers to certify that the minerals in these components do not come from implicated conflict mineral zones. Once the pilot has progressed sufficiently, we will be able to evaluate its effectiveness through either an internal or third party verification review. We are initiating development of our management systems and related audit processes to support our conflict minerals procurement policy. This will be completed once we are assured that our processes are consistent with ongoing U.S. government rulemaking." This is progressive, and we would welcome the publication of an audit policy on conflict minerals.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Microsoft stated, "but as this process matures, we plan to require that suppliers only source from smelters passing these audits"
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	Through the EICC Tantalum Smelter Validation Program. 11 smelters are to be audited.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	Microsoft provided financial support to the ITRI pilot, but this is a tracing, not auditing program, so it does not qualify.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	No. There is no mention of conflict minerals in Microsoft Citizenship Report for 2010, as of October 21, 2010.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	No. There is no mention of conflict minerals in Microsoft Citizenship Report for 2010, as of October 21, 2010.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Microsoft	Comments
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Microsoft provided financial support to the ITRI pilot, but this is a tracing, not certification program, so it does not qualify here.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes. Microsoft states, "we learned much from the NGO coalitions with which we have engaged during meetings in Brussels in January 2008 and in Washington DC in November 2008, and as noted, through our active participation on the EICC-GeSI extractives group."
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No. But Microsoft states, "We welcome the opportunity for more frequent discussion of challenges and opportunities with the NGO coalition led by Enough and other NGOs."
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>8.00</b>	<b>Overall percentage 15%</b>
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	1	1	17/18 Greenpeace.
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	12/49 in the U.S. Technology Companies list. 8/14 on the Global Technology Companies list. This was unique for companies here, so we took the average of the two scores (2nd quartile).



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Motorola	Comments	
Certify	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00		0.00	0.00	1.00	1.00	Motorola attended the ICGLR summit in Nairobi, Kenya in September 2010 and made positive statements supporting certification. The company has not made these statements public, so one point is given here.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00		0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00		0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b> 2.00										
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	1.00	Yes.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	1.00	1.00	1.00	Yes.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b> 7.00										
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	2	2	2	Yes. Motorola issued a letter supporting H.R. 4128 on November 18, 2009.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>12.00</b>	<b>22%</b>	<b>Overall percentage</b>
<b>Additional Criteria</b>		<b>8.00</b>									
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	3	3	3	6/18 Greenpeace rankings.
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	3	3	3	15/49 U.S rankings.





Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Nintendo	Comments
Stakeholder Engagement	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	No, despite repeated requests.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No. While Nintendo is based in Japan, it does conduct U.S. lobbying, having spent \$20,000 in 2009 on U.S. lobbying.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>					<b>0.00</b>		<b>0.00%</b>
<b>Overall percentage</b>										
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	0	0	Not included
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	0	0	Not included

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Nokia	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.50	0.50	0.50	0.00	0.00	1.50	Nokia has investigated tin, tantalum, and tungsten suppliers to the point of smelters and are in the process of investigating gold. Nokia: "All of our key suppliers are required to map their supply chains for the metals in their components back down to smelter and then to source. All without third party verification."
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Nokia: "No we have not. Our commercial obligations today do not permit us to disclose business relationships between different companies." As other companies have published their suppliers, Enough would welcome publication of this information from Nokia.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	2.00	0.00	0.00	0.00	2.00	Nokia has personally visited two refiners to investigate its due diligence process regarding conflict minerals.
d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	No. Nokia: "Nokia is actively driving for the GeSI/EICC smelter validation process, which would enable us to declare with third party assurance that the origins of these materials can be traced to the mines of origin."	
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Nokia uses the industry-wide EICC E-TASC self-assessment questionnaire to monitor supplier performance on social and environmental standards, but these are not audits and do not yet include conflict minerals. "Nokia conducts supplier self-assessments and on-site supplier assessments. [In 2009 we] surveyed our suppliers' Code of Conduct implementation and found that 92% met our requirements. Suppliers not meeting our expectations have been requested to take corrective action." Nokia further: "The official Nokia statement regarding 3T (available at <a href="http://www.nokia.com/corporate-responsibility/supply-chain/substance-management/origin-of-raw-materials">http://www.nokia.com/corporate-responsibility/supply-chain/substance-management/origin-of-raw-materials</a> ) mentions Nokia's involvement in GeSI/EICC smelter audit process. A revised version of the Nokia Conflict Minerals Policy will be published later this year." We would welcome Nokia adding to its work with suppliers by including internal audits on conflict minerals.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	As per #6 above, Nokia visited one smelter but did not conduct an audit. Nokia: "We have visited suppliers as part of GeSI/EICC work, which is a preparatory step for the coming regular audits."
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	Through the EICC Tantalum Smelter Validation Program. 11 smelters are to be audited.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes. Nokia: "Yes, we have been an active member in this work and strongly support it."
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. Nokia: "It is the company policy not to disclose financial details on this level, but we do support the GeSI work through our membership in it as well as by giving the time and the expertise of our employees to the project."
f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Nokia: "Nokia's approach has always been to look at its entire product portfolio and supply chains, and aim to make their conflict mineral free without exception. This is the most effective and sensible way for us to approach this issue, because our sourcing processes are global and the leverage is best when all products can be made conflict mineral free."	
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	1.00	1.00	Nokia attended the ICGLR summit in Nairobi, Kenya in September 2010 and made positive statements about certification but has not yet published these statements. Nokia therefore receives one point here, but not two. We would welcome action and a public statement on a unified certification process.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	No. Nokia's public statement does not fit with the certification described here. Nokia: "We are currently working on a revised Conflict Minerals policy which will be published later this year. We have expressed our strong support for the GeSI/EICC smelter validation process, because we believe that it is currently the most advanced and effective proposal to address the issue. We believe that third party assurance is essential in building a credible system for smelter validation.."
c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	No. Nokia's contribution relates to auditing, not certification, so it does not qualify here. Nokia: "We have invested in the GeSI/EICC validation process, because it is currently the most advanced and effective proposal available."	

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Nokia	Comments
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue? 2.00</b>									
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes. Nokia: "We have had direct meetings with Enough, SOMO etc."
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes. Nokia: "Nokia has been in regular communication with Enough since the initial contact was made to us."
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals? 7.00</b>									
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No. While Nokia is based in Finland, it does conduct U.S. lobbying, having spent \$650,000 in 2009 on U.S. lobbying. Nokia has supported the John Ruggie framework in the United Nations on related issues.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	1	1	Yes. Nokia: "Nokia thinks that the new law clarifies the situation significantly and we welcome it."
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	No. Nokia: "We have had informal discussions with the staff of Congress Members in order to better understand the process and different viewpoints. In our discussions, we have been supportive of the goals of the legislation." However, in order to qualify here, lobbying meetings must support the actual piece of legislation.
<b>Totals</b>		<b>55.00</b>	<b>55</b>					<b>10.50</b>		<b>Overall percentage 19%</b>
<b>Additional Criteria</b>										
Environmental	<b>8.00</b>									
	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	1/18 Greenpeace rankings
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	14/100 Newsweek rankings



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Panasonic	Comments	
Certify	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)	2.00	2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.	
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.	
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.	
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	2.00									
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	No. Panasonic wrote to Enough in March 2009 with regard to conflict minerals, but has not yet responded to several meeting requests.	
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	7.00									
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	n/a	0	No. While Panasonic is based in Japan, it does conduct U.S. lobbying, having spent \$1,070,000 in 2009 on U.S. lobbying.	
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	n/a	0	Not to our knowledge.	
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.	
<b>Totals</b>		<b>55.00</b>	<b>55</b>							<b>0.00</b>	<b>0</b>
<b>Overall percentage</b>											
<b>Additional Criteria</b>		<b>8.00</b>									
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)	4	N/A	N/A	N/A	N/A	3	3	6/18 Greenpeace rankings		
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	4	4	8/100 Global Rankings		

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Philips	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.50	0.50	0.00	0.00	0.00	1.00	This is beginning. According to MakeITFair, Philips has traced the use of tantalum and tin in their supply chain. They have reduced the use of tantalum since 1998 and have reviewed the sourcing practices of their capacitor
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	Philips has a proactive policy on auditing suppliers and audited 858 suppliers in 2008 as part of its supplier audit policy. However, these audits were not on conflict minerals. Philips has thus far deferred to the EICC industry-wide approach, but we would welcome a Philips policy on auditing suppliers regarding conflict minerals.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. Philips: "The electronics supply chain, where we source from 1000s of suppliers, who in their turn source from 1000s of suppliers, make it practically impossible to audit deep into the supply chain and discover the mines of origins."
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	1.00	0.00	0.00	0.00	1.00	Yes, through the EICC Tantalum Smelter Validation Program.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	2.00	0.00	0.00	0.00	2.00	Yes.









Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	RIM	Comments
Stakeholder Engagement	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes.
<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>		<b>7.00</b>								
Legislation	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	2	2	RIM issued a letter supporting H.R. 4128 on November 18, 2009.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge. RIM: "RIM supports multifaceted legislative approaches aimed at addressing this complex issue, which could help provide the industry as a whole with greater certainty that our suppliers are sourcing from 'conflict free' mineral processors." This does not qualify for points here, as it does not reference the specific Dodd-Frank bill that passed in 2010.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>7.50</b>	<b>Overall percentage 14%</b>
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)	N/A		N/A	N/A	N/A	N/A	n/a	N/A	Not included
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)	N/A		N/A	N/A	N/A	N/A	n/a	N/A	Not included



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Samsung	Comments
Stakeholder Engagement	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	1.00	1.00	Yes
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No. The first call was conducted in November 2010. We would welcome more regular engagement with the company. According to the company, "Samsung Electronics tries its best to fulfill its duty as corporate citizen, and we will try to be more engaged and active in solving the issues."
<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>		<b>7.00</b>								
Legislation	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No. While Samsung is based in South Korea, it does conduct U.S. lobbying, having spent \$340,000 in 2009 on U.S. lobbying.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	<b>Totals</b>	<b>55.00</b>	<b>55</b>						<b>4.00</b>	<b>7%</b>
<b>Overall percentage</b>										
<b>Additional Criteria</b>										
		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	3	3	6/18 Greenpeace rankings
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	2	2	13/14 in the Global Technology Companies list 54/100 Newsweek global rankings. We therefore took the average score - 73%, which is two points.



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	SanDisk	Comments
Certify	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. We would welcome further action on certification from SanDisk on certification.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	No. The first letter from the company was received on November 23, 2010, despite repeated requests for a meeting since February 2009.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No. We would welcome more regular engagement with the company.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>2.00</b>	<b>4%</b>
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	0	N/A	Not included
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	0	N/A	Not included

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Sharp	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								Company has not made public statements on conflict mineral meetings on conflict minerals, nor responded to Enough's contact the company.
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)			4	0.00	0.00	0.00	0.00	0.00	No. We would welcome Sharp's further action on tracing
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)			4	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)			2	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)			8	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?			4	0.00	0.00	0.00	0.00	0.00	No. Sharp has a Supply Chain CSR Deployment Guide, guide, not an audit, and makes no mention of minerals, r conflict or payments to armed groups. We would welcome audits of its suppliers on conflict minerals.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?			4	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?			4	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).			2	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).			4	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).			4	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)			2	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Does the company have a stated policy of support for certification?			2	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)			2	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b>	<b>2.00</b>								
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).			1	N/A	N/A	N/A	N/A	0.00	No.



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Sharp	Comments
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No.
	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b>	<b>7.00</b>								
Legislation	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No. While Sharp is based in Japan, it does conduct U.S. \$90,000 in 2008 and \$10,000 in 2009 on U.S. lobbying.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	<b>Totals</b>	<b>55.00</b>	<b>55</b>					<b>0.00</b>		<b>Overall percentage</b>
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	2	2	11/18 greenpeace rankings
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	0	N/A	Not included

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Sony	Comments
Trace	<b>Has the company traced its suppliers of the 3T and gold metals (referred to as 3TG hereafter)?</b>	<b>18.00</b>								
	a. Has the company investigated and come to know precisely which companies refine/smelt the company's supply of the 3TG, with third-party verification? (for each metal, half-point for investigation, half-point for verification)		4	0.00	0.00	0.00	0.00	0.00	0.00	Sony Ericsson did not respond to the Enough survey nor to repeated requests to meet the coalition. Its results here are based on published information. SE has specifically followed up our suppliers whose components contain some of the materials that may come from the Democratic Republic of the Congo or surrounding area. We explicitly reminded them that it was unacceptable to provide components containing metals where child labor, other abuses, or illegal activities have taken place in any step of the value chain. Assurances from the suppliers have been given that this is not the case." However, as with similar assurances,
	b. Has the company published the refiners it uses for 3TG materials (one point for each metal), with third-party verification? (for each metal, half-point for investigation, half-point for verification – total of four points possible)		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company visited at least two of its refiners and inquired about the use of conflict minerals within the past year? (two points possible)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	d. Can the company trace all of the 3TG in its products back to mines of origin? (two points for each mineral)		8	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Audit	<b>Does the company have audits conducted of its suppliers of 3TG materials to determine mine of origin and chain of custody? (for each question, one point for each metal)</b>	<b>22.00</b>								
	a. Does the company have a stated policy of auditing suppliers of the 3TG metals?		4	0.00	0.00	0.00	0.00	0.00	0.00	No. SE has a Supplier Social Responsibility Code, but it makes no mention of minerals, metals, or policy on conflict or payments to armed groups.
	b. Has the company conducted internal audits of the procurement practices of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge. SE sees tin as a problem. "We buy electronic components from around 1,500 suppliers. Most components consist of small quantities of tin. There is no way that we could follow up where all of these suppliers source their tin. It is not viable," says CSR Director Mats Pellback-Sharp. We would welcome more engagement on auditing from SE.
	c. Has the company had third-party audits conducted of 3TG suppliers down to the level of refiner, at least within the past year?		4	0.00	0.00	0.00	0.00	0.00	1.00	Through the EICC-GeSI smelter validation process.
	d. Has the company participated in the working group for the EICC tantalum smelter validation program? (two points possible).		2	0.00	0.00	0.00	0.00	0.00	2.00	Yes, and SE plans to ramp up its engagement in the working group in Autumn 2010, according to CSR Director Mats Pellback-Sharp.
	e. Has the company provided financial support for auditing for 3TG materials? (four points for support above \$100,000, two points for support between \$50,000 and \$100,000, one point for support below \$50,000).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	f. Has the company developed at least one verifiably conflict-free product, with independently audited supply chains all the way to the point of extraction? (four points possible here, either yes or no).		4	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
Certify	<b>Has the company taken concrete steps to develop an international certification regime for the 3TG minerals? (see definition of certification for clarification)</b>	<b>6.00</b>								
	a. Has the company made public statements in support of certification? (two points possible for the first two questions, either yes or no)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	b. Does the company have a stated policy of support for certification?		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.
	c. Has the company provided financial support for certification? (two points for support above \$500,000, 1.5 points for support between \$200,000 and \$500,000, one point for support below \$200,000)		2	0.00	0.00	0.00	0.00	0.00	0.00	Not to our knowledge.

Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Sony	Comments
Stakeholder Engagement	<b>Has the company had regular engagement with the NGO coalition, led by Enough, on the conflict minerals issue?</b> 2.00									
	a. Has the company met with the NGO coalition, led by Enough, regarding inquiries on conflict minerals? (one point possible, yes or no).		1	N/A	N/A	N/A	N/A	0.00	0.00	No. The company has not responded to repeated requests to meet with Enough. We would welcome more regular engagement with SE.
	b. Has the company held regular communication with the Enough NGO coalition regarding conflict minerals (at least bi-monthly)? (one point possible)		1	N/A	N/A	N/A	N/A	0.00	0.00	No.
Legislation	<b>Support for legislation: Has the company supported the U.S. legislation on conflict minerals?</b> 7.00									
	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Stree Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A	0	0	No. While Sony Ericsson is based in London, UK, Sony Electronics and Ericsson do conduct U.S. lobbying. Sony Electronics spent \$720,000 in 2009 on U.S. lobbying, and Ericsson spent \$40,000.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A	0	0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>3.00</b>	<b>5%</b>
<b>Overall percentage</b>										
<b>Additional Criteria</b>										
Environmental	<b>8.00</b>									
	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A	4	4	2/18 on the Greenpeace list
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		N/A	N/A	N/A	N/A	N/A	4	N/A	Sony Ericsson is unranked, but Sony is 4/100 of all companies on the Global companies list.



Category	Question	Possible points by category	Possible points by question	Tin	Ta	Tungsten	Gold	All	Toshiba	Comments
Legislation	a. During the legislative process, did the company issue a statement supporting at least one of the specific pieces of legislation (the Conflict Minerals Trade Act, the Congo Conflict Minerals Act, or the Wall Street Reform Act provision on conflict minerals)		2	N/A	N/A	N/A	N/A		0	No. While Toshiba is based in Japan, it does conduct U.S. lobbying, having spent \$1,380,000 in 2009 on U.S. lobbying.
	b. Has the company issued a supporting statement of the conflict minerals provision in the Wall Street Reform Act since its passage on July 21? In order to qualify here, the statement would not be in support of the goals of the legislation, but the actual provision itself.		1	N/A	N/A	N/A	N/A		0	Not to our knowledge.
	c. Has the company, separate from the industry association, met with Members of Congress to lobby in favor of legislation? (four points for 10+ pro-legislation lobbying meetings, two points for 5-10 meetings).		4	N/A	N/A	N/A	N/A		0	Not to our knowledge.
<b>Totals</b>		<b>55.00</b>	<b>55</b>						<b>1.00</b>	<b>Overall percentage 2%</b>
<b>Additional Criteria</b>		<b>8.00</b>								
Environmental	a. Greenpeace Green Electronics Ranking (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A		1	16/18 on the Greenpeace list
	b. Newsweek Green Company Rankings (four points possible, based on the quartile of the company ranking in the latest scorecard)		4	N/A	N/A	N/A	N/A		3	4/14 on the Global Technology Companies list